

Initial Meeting Checklist

Listed below are some items that may be helpful for you to bring to your initial consultation. Keep in mind that this information is helpful, but not essential. The more accurate the information we have, the better job we can do planning for your future.

- Statements of all investment accounts including stocks, bonds, mutual funds, annuities as well as current value of cash accounts
- Recent statement of all retirement accounts including IRAs, 401(k), 403(b), SIMPLE plan, SEP or any other retirement plan
- Information regarding stock options
- Shareholder agreement (for business owners)
- Life and disability insurance information
- Wills and/or trusts
- Sample monthly budget
- Last year W2 or tax returns and a recent pay stub
- Social security numbers of any person (or tax payer ID for any entity) that will be named an owner or beneficiary on any accounts
- Drivers license (to comply with the Patriot Act we must provide drivers license information with your application to establish any account)
- Mortgage information including any second mortgage or home equity line of credit details
- Any other items that you feel may be of financial importance

